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F A C S I M I L E C O V E R S H E E T

TO:

**U.S. PATENT & TRADEMARK
OFFICE
— Examiner J. Patidar**

MATTER NUMBER:

78309 (PI 194 US)

TELEPHONE:

517-272-2265

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703-872-9306

TELEPHONE-RECEIPT
VERIFICATION:

FROM:

CHARLES E. WANDS, ESQ. / JoEllen Murphy 

DATE:

March 2, 2004

NUMBER OF PAGES (INCLUDING COVER SHEET): 21

COMMENTS/INSTRUCTIONS:

Re: U.S. Application Serial No. 10/016,473
Our Docket No. 78309

Examiner Patidar:

Here is a copy of the Petition to Correct Inventorship that was forwarded to the PTO 1/26/04 (verified by the return postcard date stamped by the PTO 2/3/04), adding two inventors Dawson and Nagarajan.

Please feel free to contact Mr. Wands @ 321-725-4760 if anything further is needed.

NOTE: The information in this facsimile transmission is intended only for the personal and confidential use of the designated recipient(s) named above. This message may be an attorney-client communication and as such is privileged.

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SERIAL/PATENT NO. 10/016,473 FILED/ISSUED 10 DEC 2001
 APPLICANT ZIAR ET AL

KINDLY ACKNOWLEDGE RECEIPT OF ACCOMPANYING PAPERS WITH YOUR MAIL ROOM STAMP.

☒ check
☒ Authorization - Deposit Account No. 50-1465
☐ Utility application ☐ Provisional application
☐ pages, ☐ claims, ☐ drawing sheets
☐ CPA transmittal ☐ Continuation transmittal
☐ Certificate of Express Mail Label No.:
☒ Declaration and Power of Attorney
☒ Assignment w/Cover Sheet
☐ Change of Correspondence Address
☐ Response to Notice to File Missing Parts + copy
☐ Citation Under 37 CFR § 1.97 (IDS)
☐ Form PTO-1449 + copies of cited references
☐ Response to Restriction Requirement
☐ Amendment Transmittal Form
☐ Amendment (Official Action of)
☐ Request for Extension of Time ()
☐ Notice of Appeal - \$320 large entity
☐ Appellant's Appeal Brief (x3) - \$320 large entity
☐ Transmittal of Formal Drawings (sheets)
☐ Letter to Official Draftsman
☐ Part B-Issue Fee Transmittal
☐ Publication Fee Transmittal
☐ PCT Request (pp.) + Application (pp.)
☐ National Phase Transmittal Letter
☐ Response to PCT Invitation to Correct Defects
☐ Ch. II Demand ☐ Power of Attorney
☐ Revocation of Prior Powers of Attorney and POA
☐ Petition for Correction under 1.48 + \$130 Fee
☐ Terminal Disclaimer + \$110 large entity
☐ Request for Correction to Filing Receipt
☐ Request for Correction to Assignment and/or cover
☐ Transmittal of Certified Copy of Priority Document
☐ Preliminary Amendment
☐ Submission of Proposed Drawing Modification
☐ Request Certificate of Correction + PTO 1050
☐ Substantive specification (w/modified up version)
☒ Other STATEMENT under 37cfr 3.73b
Assent of Assignee under
37cfr 1.48

DATE 1/26/04 FILE NO. 79309 ATTORNEY CEW

FEB 03 2004
 PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Appln No: Ziari et al **File No:** P1194 US
Serial No: 10/016,473 **Group:** 2862
Filed: December 10, 2001 **Examiner:** G. Strecker
Title: OPTICAL COMPONENT ATTACHMENT TO
OPTOELECTRONIC PACKAGES


Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Statement Under 37 CFR §3.73(b)

JDS Uniphase Corporation, a Delaware Corporation, states that it is the assignee of the entire right, title, and interest in the patent application identified above. An assignment from the inventors for this application is being filed under separate cover.

The undersigned is empowered to sign this statement on behalf of the assignee.

Signature: _____



Date: _____

1/21/04

Name: Christopher S. Dewees

Title: Vice President and General Counsel

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MAR 02 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Appln No: Ziari et al **File No:** P1194 US
Serial No: 10/016,473 **Group:** 2862
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OFFICIAL

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Assent of Assignee Under 37 CFR §1.48

1. JDS Uniphase Corporation is an assignee owning an undivided interest in the patent application identified above.
2. The assignee assents to the correction of inventorship as stated in the accompanying petition to correct inventorship.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

Name of Assignee: JDS Uniphase Corporation

Signature:



Date:

3/21/04

Name: Christopher S. Dewees

Title: Vice President and General Counsel

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Appln No:	Ziari et al.	File No:	P1194 US
Serial No:	10/016,473	Group:	2862
Filed:	December 10, 2001	Examiner:	G. Strecker
Title:	OPTICAL COMPONENT ATTACHMENT TO OPTOELECTRONIC PACKAGES		

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Petition for Correction of Inventorship under 37 CFR 1.48(a)

Sir:

In view of the papers filed December 10, 2001 under serial No. 10/016,473, it has been found that this nonprovisional application, as filed, through error and without deceptive intent, improperly set forth the inventorship, and accordingly, this application has been corrected in compliance with 37 CFR 1.48(a).

The inventorship of this application has been changed by the addition of

David Dawson, 2397 Rock Point Place, Livermore, CA 94550, U.S.A.

Radhakrishnan L. Nagarajan, 7800 Creekline Drive, Cupertino, CA 95014, U.S.A.

Upon review of this application, it has been determined that David Dawson and Radhakrishnan L. Nagarajan have made a significant contribution to this application.

Attached please find a statement from David Dawson and Radhakrishnan L. Nagarajan stating that this error occurred without deceptive intent and that the invention as claimed included participation from David Dawson and Radhakrishnan L. Nagarajan.

A Revised Declaration reflecting this change to the inventorship has been signed by all of the inventors, and is duly attached hereto.

An assignment from David Dawson and Radhakrishnan L. Nagarajan to JDS Uniphase Corporation, is also being filed. This will assign the entire interest in this application to JDS Uniphase Corporation.

Please charge the required fee of \$130.00 Deposit Account No: 50-1465.

Please charge any additional fees or credit overpayment to Deposit Account No: 50-1465.

Respectfully submitted,



Mr. Charles Wands
No: 25,649

01/26/2004
Date:

Allen Dyer, Doppelt, Milbrath & Gilchrist, P.A.
1401 Citrus Center
255 South Orange Avenue
Box 3791
Orlando, Florida
USA 32802-3791

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

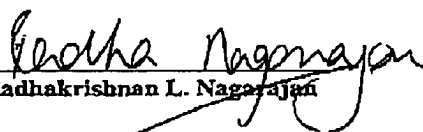
In Re Appln No: Ziari et al. File No: P1194 US
Serial No: 10/016,473 Group: 2862
Filed: December 10, 2001 Examiner: G. Strecker
Title: OPTICAL COMPONENT ATTACHMENT TO OPTOELECTRONIC PACKAGES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Statement in Support of a Petition to Add an Inventor Under 37 CFR 1.48(a)

I, Radhakrishnan L. Nagarajan, 7800 Creekline Drive, Cupertino, CA 95014, U.S.A., do make oath and say as follows:

1. THAT Mehrdad Ziari; Robert J. Lang; Tanya K. Oleskevich; Vivek Agrawal; Ashok P. Kanjamala; Donald C. Hargreaves; Harrison L. Ransom; David Dawson, and myself, are the joint inventors of the above-identified application for patent.
2. THAT in reviewing the application for additional filings, it was determined that the invention as claimed included participation from Mehrdad Ziari; Robert J. Lang; Tanya K. Oleskevich; Vivek Agrawal; Ashok P. Kanjamala; Donald C. Hargreaves; Harrison L. Ransom; David Dawson, and myself.
3. THAT the exclusion of my name as one of the inventors in this application occurred in error.
4. THAT the error occurred through inadvertence and was not intentional or for the purpose of delay.
5. THAT as soon as the error was recognized, timely action was taken to correct it.


Radhakrishnan L. Nagarajan

12/3/03
Date: